

# Retrieval-By-Unification Operation on a Relational Knowledge Base

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## Abstract

This paper describes a method for *retrieval-by-unification (RBU)* operations, especially *unification-join*, on a relational knowledge base. The relational knowledge base is a conceptual model for a knowledge base. In this model knowledge is represented by *term relations*. Terms in the term relations are retrieved with operation called RBUs (i.e., *unification-join* and *unification-restriction*). To perform unification-join in the simplest manner, all possible pairs of tuples in term relations should be checked to see if each pair of terms in the tuples is unifiable or not. This would result in an extremely heavy processing load. We propose a method which involves ordering terms and, as result, omitting some pairs from this processing. The paper also describes a method for implementing the *unification engine (UE)*, that is, hardware dedicated to the RBU operations.

## 1 Introduction

The Fifth Generation Computer Systems (FGCS) project in Japan aims to develop inference and knowledge base mechanisms to implement a knowledge information processing system. To create a large-scale system for knowledge information processing it is necessary to make a subsystem which efficiently manages and shares knowledge, like the database management system in data processing. In this paper, the machine that efficiently realizes the above subsystem is called a knowledge base machine. Development of a knowledge base machine is one of the goals of the four-year intermediate stage (1985 to 1988) of the project.

The knowledge base machine will be used by a variety of users and host computers, so a flexible conceptual schema is desirable. The relational knowledge base suggested in [Yokota 86] is an extremely flexible conceptual model of a knowledge base. Knowledge is represented by term relations, which can include a set of Horn clauses or of semantic networks. However, the

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amount of processing by the machine becomes enormous when the *retrieval-by-unification (RBU)* for short) operations proposed in [Yokota 86] is performed in a simple manner.

This paper describes how to process the RBU operations. Section 2 provides necessary information on the relational knowledge base and the RBU operations. Section 3 proposes an efficient method for processing the RBU operations. Finally, Section 4 introduces a method for implementing the unification engine (UE), that is, dedicated hardware for performing RBU operations.

## 2 A Relational Knowledge Base

One reason why database systems have prospered is that sets of data can be shared by a number of applications as a result of the establishment of data independence based on data models. It is important for a knowledge base system to supply a number of applications with more complex structures than the data stored in databases. Thus, we must set up a knowledge model for uniformly treating knowledge among suppliers and users of the knowledge. We proposed a relational knowledge base in [Yokota 86] as such a common model.

### 2.1 Basic Concept

The relational data model is suitable for treating sets of data mathematically. Let  $U = \{A_1, A_2, \dots, A_n\}$  be a set of attributes, then a domain  $D_i = \text{dom}(A_i)$  ( $i = 1, \dots, n$ ). Formally, relation  $R(A_1, A_2, \dots, A_n)$  on  $U$  is defined as follows:

$$R \subseteq D_1 \times D_2 \times \dots \times D_n.$$

$\mu \in R(A_1, A_2, \dots, A_n)$  is called a tuple. If it is necessary to distinguish the disjoint sets of attributes  $X$  and  $Y$  among the attributes, we use the notation  $R(X, Y, \dots)$ . For example if  $X = \{A_1, A_2\}$  and  $Y = \{A_3, A_4, A_5\}$ , the tuple  $(x, y, \dots)$  stands for  $(a_1, a_2, a_3, a_4, a_5, \dots)$ .

Now in the relational data model, domains are restricted to sets consisting of nothing but constants. In the relational knowledge base, on the other hand, domains are expanded to sets of terms. A term is a kind

of structure capable of containing a number of constants and variables. A subset of the Cartesian product of term domains  $K_1, K_2, \dots, K_n$  is called a *term relation* [Yokota 86] on  $U$ .

$$T \subseteq K_1 \times K_2 \times \dots \times K_n$$

where  $K_i$  is a set of terms.  $\mu \in T(U)$  is also called a tuple (over  $U$ ).

Assume that  $\text{Var}$  is a set of variables and  $\text{Fun}_i$  ( $i = 0, 1, 2, \dots$ ) is a set of  $i$ -place function symbols.  $\cup_{i=0,1,2,\dots} \text{Fun}_i$  is denoted by  $\text{Fun}$ . Elements of  $\text{Fun}_0$  are called constants. We assume that  $\text{Fun} \cap \text{Var} = \phi$ . Now, terms on  $\text{Fun} \cup \text{Var}$  are recursively defined as follows:

1. Any constant  $a \in \text{Fun}_0$  and any variable  $x \in \text{Var}$  are terms.
2. If  $t_1, t_2, \dots, t_n$  are terms and  $f \in \text{Fun}_n$  is an  $n$ -place function symbol, then  $f(t_1, t_2, \dots, t_n)$  is also a term.
3. All terms are generated by applying the above rules.

Let  $\text{Term}$  be a set of terms on  $\text{Fun} \cup \text{Var}$ . A *substitution*  $\theta : \text{Var} \rightarrow \text{Term}$  is represented by a finite set of ordered pairs of terms and variables

$$\{ \{t_i/x_i\} \mid t_i \in \text{Term}, x_i \in \text{Var} \\ \text{and if } i \neq j \text{ then } x_i \neq x_j \}$$

Applying a substitution  $\theta$  to term  $t$ , we represent the resulting term by  $t\theta$ .  $t\theta$  is called an instance of  $t$ .

A substitution  $\theta$  is called a *unifier* for  $t_1$  and  $t_2$ , if and only if  $t_1\theta = t_2\theta$ . We also say that  $t_1$  and  $t_2$  are *unifiable* when there is a unifier for them.

A unifier  $\theta$  is said to be the *most general unifier* (*mgu*), if and only if for any unifier  $\theta'$  of the set there is a substitution  $\theta''$  such that  $\theta' = \theta \circ \theta''$ , where  $\circ$  is composition of substitutions. We write the mgu of  $t_1$  and  $t_2$  as  $\text{mgu}(t_1, t_2)$ .

A substitution  $\theta$  is called a *simultaneous unifier* for the set of pairs of terms  $\{(t_i, u_i) \mid i = 1, \dots, n\}$  if and only if  $t_i\theta = u_i\theta$  for all  $i$ .

A simultaneous unifier  $\theta$  is said to be the *most general simultaneous unifier* (*mgsu*), if and only if for any simultaneous unifier  $\theta'$  of the set there is a substitution  $\theta''$  such that  $\theta' = \theta \circ \theta''$ . The mgsu for the set of pairs of terms  $\{(t_i, u_i) \mid i = 1, 2, \dots, n\}$  is denoted by  $\text{mgsu}(\{(t_1, u_1), \dots, (t_n, u_n)\})$ .

## 2.2 RBU Operations

Data manipulation languages for relational databases are basically grouped into two types: relational algebraic languages and relational calculus-based languages.

Relational calculus is non-procedural while relational algebra is procedural. Thus, it is easy to model real operations on data using relational algebra.

In the process of extending the relational data model to the relational knowledge base model, operations of conventional relational algebra, such as join and restriction, are extended to operations based on unification. In other words, equality-check operations between constants are enhanced to unification operations between terms. Thus (equi)join and restriction are extended to *unification-join* and *unification-restriction*, respectively.

The projection of a (term) relation  $T(X, Y)$  over a set of attributes  $X$  is defined by  $T[X] = \{x \mid \exists y (x, y) \in T\}$ .

Let  $w_1$  and  $w_2$  be attributes or terms, and  $\mu$  be a tuple of a term relation. Let *take.term*( $w_1, \mu$ ) be defined as

$$\text{take.term}(w_1, \mu) = \begin{cases} \mu[w_1], & \text{if } w_1 \text{ is an attribute;} \\ w_1, & \text{if } w_1 \text{ is a term.} \end{cases}$$

$w_1 \circ w_2$  represents the condition for the tuple  $\mu$  such that  $\text{take.term}(w_1, \mu)$  and  $\text{take.term}(w_2, \mu)$  are unifiable. Let  $F$  be a formula  $\bigvee_{k=1,\dots,n} (\bigwedge_{j=1,\dots,m_k} (A_{i,j,k} \circ w_{j,k}))$ , where  $A_{i,j,k}$  is an attribute and  $w_{j,k}$  is a term or an attribute, and  $\wedge$  and  $\vee$  mean conjunction and disjunction. The unification-restriction of term relation  $T$ , written  $\sigma_F T$ , is defined as

$$\sigma_F T = \{ \mu\theta \mid \exists \mu \in T, \exists k, \\ \theta = \text{mgsu}(\{(\mu[A_{i,j,k}], \text{take.term}(w_{j,k}, \mu)) \mid \\ j = 1, \dots, m_k\}) \}$$

Let  $X, Y, Z, W$  be sets of attributes. The unification-join of  $T_1$  and  $T_2$ , written  $T_1(X, Y) \underset{Y \circ Z}{\bowtie} T_2(Z, W)$ , is defined as the term relation on  $X \cup Y \cup W$ :

$$T_1(X, Y) \underset{Y \circ Z}{\bowtie} T_2(Z, W) \\ = \{ \mu \mid \exists \mu_1 \in T_1, \exists \mu_2 \in T_2, \\ \theta = \text{mgu}(\mu_1[Y], \mu_2[Z]), \\ \mu[X] = \mu_1[X]\theta, \\ \mu[Y] = \mu_1[Y]\theta = \mu_2[Z]\theta, \\ \mu[W] = \mu_2[W]\theta \}$$

Where  $W \cap X = \phi$ . Attributes are renamed if necessary. Note that  $\mu[Y]$  can be regarded as a term even if  $Y$  is set of attributes [Murakami 85].

In the relational knowledge base, knowledge is represented as *term relations*. Term relations stored in a certain format may be regarded as a set of Horn clauses (Figure 1). [Yokota 86] showed that input resolution can be performed using RBU operations.

The relational knowledge base is also expected to be capable of other types of knowledge representation such as frames and semantic networks. A common model

$$\begin{aligned}
& \text{ancestor}(X, Y) \vee \neg \text{parent}(X, Y) \\
& \text{ancestor}(X, Y) \vee \neg \text{parent}(X, Z) \vee \neg \text{ancestor}(Z, Y) \\
& \text{parent}(\text{smith}, \text{clark}) \\
& \text{parent}(\text{clark}, \text{turner}) \\
& \vdots
\end{aligned}$$

|            |   |  |   |   |  |   |
|------------|---|--|---|---|--|---|
| <b>KB1</b> | [ | $\text{ancestor}(X, Y) S$                      | ] | [ | $\text{parent}(X, Y) S$                        | ] |
|            |   | $\text{ancestor}(X, Y) S$                      |   |   | $\text{parent}(X, Z), \text{ancestor}(Z, Y) S$ |   |
|            |   | $\text{parent}(\text{smith}, \text{clark}) S$  |   |   | $S$  |   |
|            |   | $\text{parent}(\text{clark}, \text{turner}) S$ |   |   | $S$  |   |
|            |   | $\vdots$                                       |   |   | $\vdots$                                       |   |

|            |   |                                    |   |   |   |   |
|------------|---|------------------------------------|---|---|---|---|
| <b>KB2</b> | [ | $\text{ancestor}(\text{smith}, Y)$ | ] | [ | $\text{parent}(\text{smith}, Y)$                        | ] |
|            |   | $\text{ancestor}(\text{smith}, Y)$ |   |   | $\text{parent}(\text{smith}, Z), \text{ancestor}(Z, Y)$ |   |

|            |   |   |   |   |   |   |   |                                    |   |
|------------|---|---|---|---|---|---|---|------------------------------------|---|
| <b>KB3</b> | [ | $\text{ancestor}(\text{smith}, \text{clark})$ | ] | [ | $\text{parent}(\text{smith}, \text{clark})$                                   | ] | [ | $\emptyset$                        | ] |
|            |   | $\text{ancestor}(\text{smith}, Y)$            |   |   | $\text{parent}(\text{smith}, \text{clark}), \text{ancestor}(\text{clark}, Y)$ |   |   | $\text{ancestor}(\text{clark}, Y)$ |   |

Figure 1. Example of term relations.

to handle various types of knowledge representation is necessary to create a shared knowledge base. The relational knowledge base is a promising candidate for such a model.

A mathematical foundation for formal semantics of relational knowledge bases was studied in [Murakami 85].

Figure 1 shows an example of term relations and RBU operations. Here  $X, Y, Z, W$  and  $S \in \text{Var}$ ,  $\text{ancestor}$  and  $\text{parent} \in \text{Fun}_2$  and  $\text{smith}, \text{clark}$  and  $\text{turner} \in \text{Fun}_0$ .  $\text{KB1}(A_1, A_2)$  is an example of a term relation.  $\text{KB2} = \sigma_{A_1 \diamond \text{ancestor}(\text{smith}, W)} \text{KB1}$ , and  $\text{KB3} = \text{KB2} \stackrel{\text{pd}}{A_3 \diamond A_1} \text{KB1}$ .

Unification-restriction can be achieved by using unification-join. For example, suppose  $T(A_1, A_2, A_3, A_4)$  is a term relation,  $f = (A_1 \diamond t_1 \wedge A_2 \diamond A_3) \vee (A_3 \diamond a_1)$  and  $X = \{A_1, A_2, A_3, A_4\}$ , where  $t_1$  and  $a_1$  are terms and  $x_{i,j}$  are variables. Let a term relation  $T'(A_1, A_2, A_3, A_4)$  be

$$\begin{aligned}
& \{(t_1, x_{1,2}, x_{1,2}, x_{1,4}), (x_{2,1}, x_{2,2}, a_1, x_{2,4})\}, \text{ then} \\
& \sigma_f T = T \stackrel{\text{pd}}{X \diamond X} T'.
\end{aligned}$$

Where the tuple  $(t_1, x_{1,2}, x_{1,2}, x_{1,4})$  is corresponding to the condition  $(A_1 \diamond t_1 \wedge A_2 \diamond A_3)$ , and the tuple  $(x_{2,1}, x_{2,2}, a_1, x_{2,4})$  is corresponding to  $(A_3 \diamond a_1)$ .

### 3 A Processing Method for RBU Operations

The relational data model provides users with a flexible data model, but it requires a large amount of processing. In particular, performing join operations with

large relations requires a tremendous amount of computation. Several algorithms for implementing join have been proposed and studied [King 80][Merrett 83]. The Delta machine [Kakuta 85] employed dedicated hardware relational algebra engines to improve efficiency.

In the relational knowledge base, unification-join processing is likely to generate very large computation loads. In this section, we propose a method to process RBU operations, especially unification-join.

In Section 4, we propose a method to realize this in hardware.

#### 3.1 Ordering of Terms

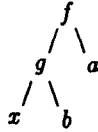
We assume that a very large amount of knowledge will be stored in the knowledge base machine, so we further assume these terms are stored in secondary storage (e.g. moving head disks).

To perform unification-join in the simplest manner, all possible pairs of tuples in term-relations should be checked to see if each pair of terms in the tuples is unifiable or not. Generating all possible pairs, however, would result in extremely heavy processing loads. One way of preventing it involves ordering terms and, as a result, omitting some pairs.

To arrange terms in order, we introduced the concept of generality as follows[Yokota 86]:

Suppose  $t_1$  and  $t_2$  are terms. If  $t_2$  is an instance of  $t_1$ , then  $t_1$  is more general than  $t_2$ . That is,

$$t_1 \supseteq t_2 \text{ iff } \exists \theta t_2 = t_1 \theta \quad (\theta \text{ is a substitution}).$$



Family order: (f2) (g2) (x) (b0) (a0)  
 Level order: (f2) (g2) (a0) (x) (b0)

Figure 2. A tree and character strings representing term  $f(g(x, b), a)$ .

This generality order, however, is a partial order. All terms should be ordered thoroughly keeping the order of generality. Terms can be represented by trees (Figure 2), which can then be linearized to character strings. Since trees can be linearized in various ways, such as family-order and level-order methods [Knuth 73a], there are many character string representations. The character string representation of method  $m$  of term  $t$  is denoted by  $rep_m(t)$ . Note that each character corresponds to elements of  $Var \cup Fun$ . The corresponding elements of  $Var \cup Fun$  of the character  $c$  is denoted by  $node(c)$ .

The length of string  $s$  is denoted by  $length(s)$ . We write the substring from the  $i$ -th character through the  $j$ -th character of the character string  $s$  by  $s[i; j]$ , where  $i \leq j$ , and especially when  $i > j$  it denotes the *null-string* ( $length(s[1; 0]) = 0$ ). The position of a first variable in character string  $s$  is denoted by  $posv(s)$  and the position in which variable  $x$  appears first is denoted by  $posv(s, x)$ . If there are no variables in  $s$  then we define  $posv(s) = length(s)$ , and if there are no variables  $x$  in  $s$  then we also define  $posv(s, x) = length(s)$ .  $s[1; posv(s) - 1]$  is denoted by  $prefv(s)$ . We define  $difpos(s_1, s_2)$  as

$$difpos(s_1, s_2) = \begin{cases} 1, & \text{if } s_1[1; 1] \neq s_2[1; 1] \text{ or,} \\ & s_1 \text{ or } s_2 \text{ is null-string;} \\ n, & \text{if } s_1[1; n-1] = s_2[1; n-1], \\ & s_1[n; n] \neq s_2[n; n] \ (n \geq 2). \end{cases}$$

We define a lexicographic order of character string representations of terms as follows:

- $s_1 > s_2$  if and only if  $n = difpos(s_1, s_2)$ , and
1.  $node(s_1[n; n]), node(s_2[n; n]) \in Var$ ,
    - (a)  $posv(s_1, node(s_1[n; n])) > posv(s_2, node(s_2[n; n]))$ .
    - (b)  $posv(s_1, node(s_1[n; n])) = posv(s_2, node(s_2[n; n]))$ ,  
 $s_1[n+1; length(s_1)] > s_2[n+1; length(s_2)]$ .
  2.  $node(s_1[n; n]) \in Var, node(s_2[n; n]) \in Fun$ .
  3.  $node(s_1[n; n]), node(s_2[n; n]) \in Fun$ ,  
 $node(s_1[n; n]) > node(s_2[n; n])$  in arbitrary order in  $Fun$

INPUT : Two sets of terms  $T_1$  and  $T_2$ .  
 OUTPUT: All the possible pairs of terms.

Step 1: Set  $fs \leftarrow null\text{-string}$ ,  $class_i(k) \leftarrow \phi$   
 for  $i = 1$  or  $2$  and  $k = 0, 1, 2, \dots$

Step 2: Take a term  $t \in T_i$ , ( $i = 1$  or  $2$ ),  
 such that  $t \geq t'$  for all  $t' \in T_1 \cup T_2$ .  
 And let  $T_i \leftarrow T_i - \{t\}$ .  
 If there are no terms in  $T_1 \cup T_2$ , then stop.

Step 3: Set  $p \leftarrow prefv(rep_m(t))$ , and  $n \leftarrow difpos(p, fs)$ .

Step 4: Let  $class_1(k) \leftarrow \phi$ , and  $class_2(k) \leftarrow \phi$  for  $k \geq n$ ,  
 Let  $fs \leftarrow p$ .

Step 5: Output all pairs  $(t, t')$ ,  
 where  $t' \in class_j(k)$ ,  $1 \leq k \leq n-1$ ,  $j \neq i$ , ( $j = 1$  or  $2$ ).

Step 6: Let  $class_i(length(p)) \leftarrow class_i(length(p)) \cup \{t\}$   
 and go to step 2.

Figure 3. The pair generation algorithm.

We use the lexicographic order (of method  $m$ ) to order the terms. That is,

$$t_1 \succ_m t_2 \quad \text{iff} \quad rep_m(t_1) > rep_m(t_2)$$

In this paper, the method of ordering of terms which corresponds to the family-order representation is called the *left-most* method. Similarly, the method of ordering of terms corresponding to the level-order representation, is called the *outer-most* method.

Note that, in both of the linearized methods, family-order and level-order, the 'father' node appears before it's 'son' nodes. So for substitution  $\theta_1 = \{\{t_i/x_i\}\}$  and term  $t$ , let  $s_1, s_2$  and  $k$  be  $rep_m(t), rep_m(t\theta_1)$  and  $posv(s_1, x_1)$ , respectively. Then  $s_1$  and  $s_2$  have a common identical substring  $s_1[1; k]$  and  $node(s_1[k; k]) \in Var, node(s_2[k; k]) \in Fun \cup Var$ . So  $rep_m(t) \geq rep_m(t\theta_1)$ . (Note that when  $node(s_2[k; k]) \in Var$ ,  $posv(s_1, x_1) = k$  and  $posv(s_2, t_1) \leq k$ .)

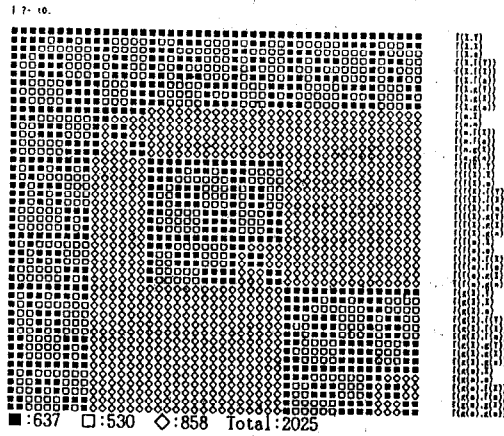
Therefore, for term  $t$  and substitution  $\theta = \{\{t_i/x_i\}_{i=1, \dots, n}\}$

$$rep_m(t) \geq rep_m(t\theta).$$

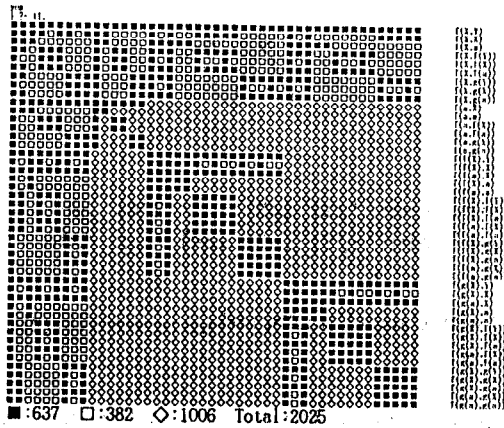
Where  $rep_m(t) = rep_m(t\theta)$  hold when  $\theta$  is a renaming substitution (i.e.,  $\theta = \{\{t_i/x_i\}\}$  then  $t_i \in Var$  for all  $i$  and if  $i \neq j$  then  $t_i \neq t_j$ ). Thus both of these ordering methods maintain the order of generality (i.e., if  $t_1 \sqsupseteq t_2$  then  $t_1 \geq t_2$ ). The order introduced in [Yokota 86] is an instance of the left-most method.

### 3.2 A Processing Method for Unification-Join

In a set of terms ordered in the above way (i.e., left-most method or outer-most method), character strings



(left-most method)



(outer-most method)

Figure 4. Example of combination of terms.

of terms which can be unified should have a common identical substring preceding a variable.

Let us consider a pair of terms  $t_1$  and  $t_2$ . Suppose  $s_1 = rep_m(t_1)$ ,  $s_2 = rep_m(t_2)$ ,  $k = \min(posv(s_1), posv(s_2))$ . If  $t_1$  and  $t_2$  are unifiable, then there exists  $\theta = mgu(t_1, t_2)$  and  $t_1\theta = t_2\theta$ , so,  $rep_m(t_1\theta) = rep_m(t_2\theta)$ , and  $rep_m(t_1\theta)[1;k] = s_1[1;k] = rep_m(t_2\theta)[1;k] = s_2[1;k]$ . That is,

$$s_1[1;k] = s_2[1;k]$$

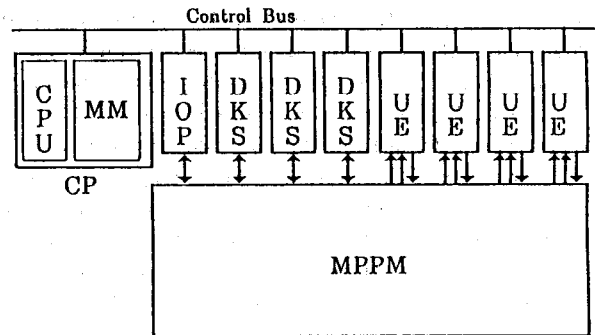
where  $k = \min(posv(s_1), posv(s_2))$ .

Therefore, only such terms should be paired and checked as unifiable or not. It is easy to select such pairs, when character strings are sorted.

Figure 3 shows a pair generation algorithm. Here we maintain the set  $class_i(k)$  as a set of terms such that  $prefv(t)$  is  $fs[1;k]$ .

Generated pairs in each case (left-most method and outer-most method) are represented as shown in Figure 4, with terms on both vertical and horizontal axes. Internal points (black squares, white squares and diamonds) represent the pair, where a black square indicates a unifiable pair, a diamond indicates a pair the algorithm can omit, and a white square indicates a pair which is not unifiable but can not omitted.

In tree representation, variables appear only at leaves of a tree. Since the level-order method lists the nodes from left to right, one level at a time, leaves appear later in  $rep_{level\ order}(t)$  than in  $rep_{family\ order}(t)$ . Since we check only  $prefv(rep_m(t))$ , the outer-most (level-order) method omits more pairs of terms than the left-most (family-order) method in general.



CP : Control processor    UE : Unification engine  
MM : Main memory of CP    DKS : Disk system  
IOP : I/O Processor    MPPM : Multiport page-memory

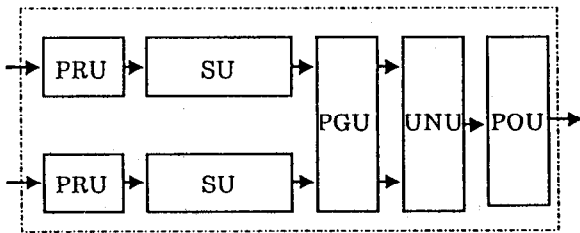
Figure 5. A Knowledge machine configuration.

#### 4 Design of the UE

In the initial stage of the FGCS project, we developed a relational database engine to be used in the relational database machine Delta[Kakuta 85][Sakai 84]. In the knowledge base machine, we also aim at improving efficiency by creating hardware dedicated to the RBU operation.

A relational knowledge base system architecture was proposed in [Yokota 86][Monoi 86]. Here we propose dedicated hardware called a *unification engine* (UE for short) for performing retrieval-by-unification operations as fast as possible.

Figure 5 shows a configuration of a knowledge base system [Monoi 86]. We assume that a very large amount of knowledge will be stored in the several disk systems.



PRU :Preprocess unit  
 SU :Sort unit  
 PGU :Pair generation unit  
 UNU:Unification unit  
 POU :PostProcess unit

Figure 6. Unification engine configuration.

In order to enlarge bandwidth between disk systems and UE's, disk systems and UE's are connected with the multiport page-memory. A multiport page-memory [Tanaka 84] is a kind of shared memory designed to be able to access the same page from several ports at the same time. The control processor controls the data flow and the parallel execution environment among UE's and disk systems. More details on architecture are given in [Yokota 86], and on control technique in [Monoi 86].

The following describes a method to realize this dedicated hardware UE is based upon the RBU processing method proposed in Section 3.

#### 4.1 Unification Engine Configuration

The unification engine retrieves terms from term relations. Figure 6 shows a unification engine configuration. A unification engine uses three channels, two for input data streams to it and one for output data streams from it. It processes data streams by pipeline processing, while it gets the data stream from input channels, process it and put the results on the output channel.

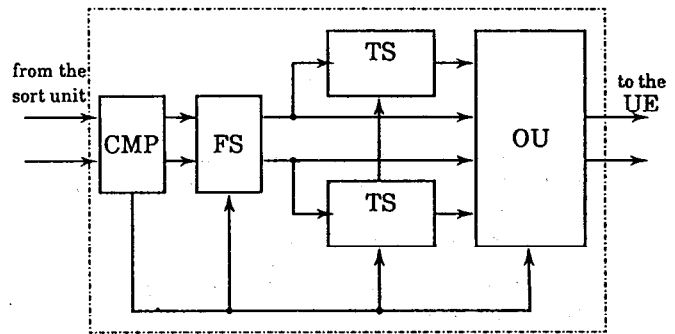
The unification engine consists of the following five units:

**preprocess unit:** This unit extracts an object item (term) from a tuple and sends out only that item to the sort unit.

**sort unit:** The sort unit sorts sets of terms into order.

**pair generation unit:** This unit accepts two strings of sorted terms, then generates pairs of possibly unifiable terms.

**unification unit:** The unification unit obtains the most general unifier (mgu) of generated term



CMP :Comparator  
 FS :Functor stack  
 TS :Term stack  
 OU :Output unit

Figure 7. Pair generation unit configuration.

pairs.

**postprocess unit:** The postprocess unit applies the mgu to the original tuples.

#### 4.2 Sort Unit

We show that it can perform the unification-join efficiently by sorting terms in some order of generality.

Several sorting algorithms have been proposed and studied [Knuth 73b]. The relational database engine of Delta employed a sorter which adopted the two-way merge sort algorithm [Todd 77]. If we number variables left-to-right in character strings of terms, we can obtain the lexicographic order by variable length character sort. So we adopt the variable-length two-way merge sort method. We use a TRIE representation of variable-length character strings to avoid readjusting comparison starting points. This representation is used in a pipelined heap sorter proposed in [Tanaka 85].

#### 4.3 Pair Generation Unit

The pair generation unit generates all pairs of terms  $(t_1, t_2)$  such that  $rep_m(t_1)[1; k] = rep_m(t_2)[1; k]$ , where  $k = \min(posu(rep_m(t_1)), posu(rep_m(t_2)))$ .

The pair generation unit puts terms in the stack until all possibilities for unification are exhausted. Comparing these terms with the input term, the unit outputs all pairs of terms apart from irrelevant pairs of terms. That is, the pair generation unit omits pairs of terms corresponding to diamonds in Figure 4. Then unification unit selects pairs of terms corresponding to black squares in Figure 4 from the "squares" pairs of terms.

Step 1: Set  $k = 0, W_k = W$ , and  $\theta_k = \epsilon$ .

Step 2: If  $W_k$  is a singleton, stop;  
 $\theta_k$  is most general unifier for  $W$ .  
 Otherwise, find the disagreement set  $D_k$  of  $W_k$ .

Step 3: If there exist elements  $v_k$  and  $t_k$  in  $D_k$   
 such that  $v_k$  is a variable that does not occur in  $t_k$ ,  
 go to step 4.  
 Otherwise, stop;  $W$  is not unifiable.

Step 4: Let  $\theta_{k+1} = \theta_k\{t_k/v_k\}$  and  $W_{k+1} = W_k\{t_k/v_k\}$ .  
 (Note that  $W_{k+1} = W\theta_{k+1}$ .)

Step 5: Set  $k = k + 1$  and go to Step 2.

Figure 8. Unification algorithm [Robinson 65]

Figure 7 shows the configuration of the pair generation unit.

The pair generation unit consists of four components, a comparator, two term stacks, a functor stack and an output unit. The term stacks and the functor stack are corresponding to 'class' and 'fs' in Figure 3, respectively.

The comparator sends out streams of two terms to be input one by one in order. Term stacks store terms while possibilities of unification remain and the functor-stack indicates the order of current processing. The output unit control sends out streams of pairs of terms to be output to the unification unit.

This unit accepts the TRIE representation, and marge two input stream, so it is easy to compute the  $dispos(p, fs)$  in Figure 3 ( $dispos(p, fs)$  equal to position number of first character of marged TRIE representation of  $p$ ).

The unification units process each pair of terms, so it is not appropriate that term be represented in TRIE representation. The pair generation unit need to reform the representation, and it does so using the functor-stack.

#### 4.4 Unification Unit

Unification was first introduced by Robinson as the basic operation of resolution. Several unification algorithms have been studied [Yasuura 85]. Most unification algorithms, structure shared methods or structure copy methods, use pointers to bind variables. However, pointers are not appropriate to data stream processing.

Figure 8 shows one of the basic unification algorithms [Robinson 65]. Here  $W$  is a set of terms.

Let us consider the hardware for executing the repetition part of Figure 8 (step 2  $\rightarrow$  step 4) (we call this hardware a *unification element*). Collecting unification elements in series (see Figure 9) allows pipeline process-

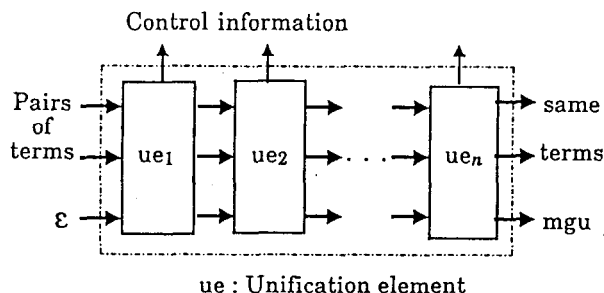
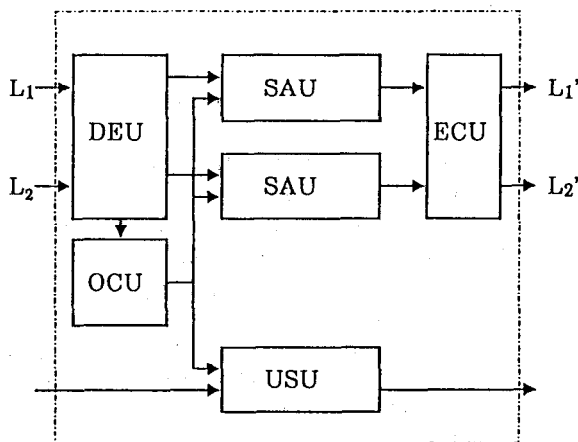


Figure 9. Hardware for unification algorithm.



DEU: Disagreement extraction unit  
 OCU: Occurrence check unit  
 SAU: Substitution apply unit  
 USU: Unifier synthesize unit  
 ECU: Equality check unit

Figure 10. Unification element configuration.

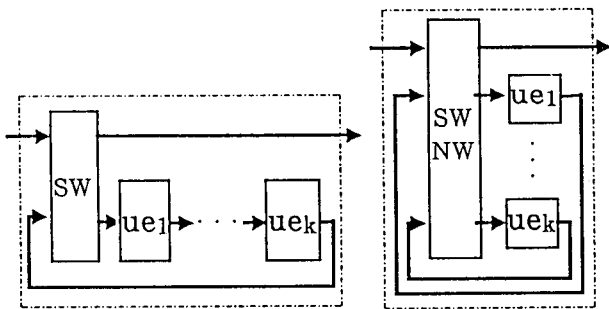
ing of pairs of terms from the pair generation unit.

Figure 10 shows a configuration of the unification elements. The blocks corresponding to each 'step' in the algorithm processes character streams in the pipeline manner.

If there are no limits set on the number of variables in terms, then an infinite number of unification elements would be required. This problem is easily solved by a modification of the configuration using circuit changing switches or a switching network as shown in Figure 11.

#### 5 Summary

In this paper we proposed an RBU operation processing method and an approach to its implementation. Our method and unification engine applies not only to knowledge base machines, but also to other knowledge information processing systems. The ordering of terms



SW :Circuit changing switches  
 SWNW :Switching network  
 ue<sub>i</sub> :Unification element

Figure 11. Unification unit configurations.

proposed in Section 3 can also be used for a disk clustering method, a kind of page indexing method, to narrow the search space. In the future, we plan to evaluate the proposed algorithm and engine by means of simulation.

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